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formulation for use therein

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# METHOD OF TREATING OR PREVENTING IMMUNE MEDIATED DISORDERS AND PHARMACEUTICAL FORMULATION FOR USE THEREIN

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## 5 TECHNICAL FIELD OF THE INVENTION

The present invention relates to a method for treating or preventing immune mediated disorders in a mammal by administering an effective amount of an estrogenic component to said mammal. The method is particularly suited for treating or preventing T lymphocyte-mediated disorders and/or chronic inflammatory diseases, including, but not limited to, 10 autoimmune diseases, such as multiple sclerosis, rheumatoid arthritis, osteoarthritis, insulin dependent diabetes (type I diabetes), systemic lupus erythematosus and psoriasis. immune pathologies induced by infectious agents, such as helminthic (e.g., leishmaniasis) and certain viral infections, including HIV, and bacterial infections, including Lyme disease, tuberculosis and lepromatous leprosy, transplant rejection, graft versus host disease and atopic conditions, 15 such as asthma and allergy, including allergic rhinitis, gastrointestinal allergies, including food allergies, eosinophilia, conjunctivitis and glomerular nephritis.

Another aspect of the invention is concerned with a pharmaceutical formulation for use in the aforementioned method, wherein the formulation comprises an estrogenic 20 component, an immunotherapeutic agent and a pharmaceutically acceptable excipient.

## BACKGROUND OF THE INVENTION

25 The role of female sex hormones in various immune mediated disorders has been the subject of various scientific publications. It has been recognised that autoimmune diseases such as multiple sclerosis and rheumatoid arthritis preferentially affect women (Jansson et al., Inflamm Res 1998 Jul; 47(7): 290-301). In addition the observation has been made that during pregnancy, when levels of female sex hormones are high, clinical remissions of cell-mediated 30 autoimmune diseases are common, with disease exacerbation often seen post-partum when sex hormone levels are low (Kim et al., Neurology 1999 Apr 12; 52(6) 1230-1238). Furthermore it has been reported that an unusually high fraction of patients with multiple sclerosis show decreased gonadotropin and estrogen values in the urine (Poser et al., Geburtshilfe Frauenheilkd 1981 May; 41(5): 353-358).

Furthermore studies in castrated mice have shown that administration of estradiol and estriol in an amount which induces the serum levels seen at late stage pregnancy, delays the onset of experimental autoimmune encephalomyelitis (EAE), a Th1 cell-dependent autoimmune disease used as a model of multiple sclerosis (Jansson et al., *Inflamm Res* 1998 Jul; 47(7): 290-301). The results of an evaluation of the effect of 17 $\beta$ -estradiol on gene expression in EAE using DNA microarray implicate a limited set of known and previously unsuspected estradiol-sensitive genes that may be crucial for inhibition of EAE and potentially the human disease, multiple sclerosis (Matejuk et al., *Endocrinology* 2002 Jan; 143(1): 313-319).

WO 01/185154 relates to a method of ameliorating a Th1-mediated immune pathology in a mammal by administering a low dose of estrogen to the mammal, particularly a low dose of 17 $\beta$ -estradiol, estriol or estrone. Low doses of these estrogens are deemed necessary to avoid the potential adverse effects of high levels of estrogen on the reproductive and circulatory systems and also to prevent unwanted side effects in males.

The aforementioned estrogens 17 $\beta$ -estradiol, estriol and estrone have in common that they are endogenous to the female body, i.e. they are biogenic estrogens. These biogenic estrogens show serious pharmacokinetic deficits. Their oral bio-availability is very low and varies greatly from person to person, meaning that general dosage recommendations cannot be given. Fast elimination of these estrogens from the blood is another related problem. For instance, for the main human biogenic estrogen 17 $\beta$ -estradiol the half-life is around 1 hour. As a result, between separate (daily) administration events, blood serum levels of such biogenic estrogens tend to fluctuate considerably. Thus, shortly after administration the serum concentration is usually several times higher than the optimum concentration. In addition, if the next administration event is delayed, serum concentrations will quickly decrease to a level where the estrogen is no longer physiologically active.

In addition to pharmacokinetic problems, the known estrogens also show pharmacodynamic deficits. After resorption from the intestinal lumen, orally applied active ingredients enter the organism via the liver. This fact is of specific importance for estrogenic agents as the liver is a target organ for estrogens; oral intake of estrogens results in strong estrogenic effects in the liver. The secretion activity that is controlled by estrogens in the human liver includes increased synthesis of transport proteins CBG, SHBG, TBG, several factors that are important for the physiology of blood clotting, and lipoproteins. If biogenic estrogens are introduced to the female organism while avoiding passage through the liver (e.g. by transdermal application), the liver functions mentioned remain largely unchanged.

Therapeutically equivalent doses of commonly known biogenic estrogens, when applied orally, result in clear responses of hepatic parameters, such as increase of SHBG, CBG, angiotensinogen and HDL (high density lipoprotein).

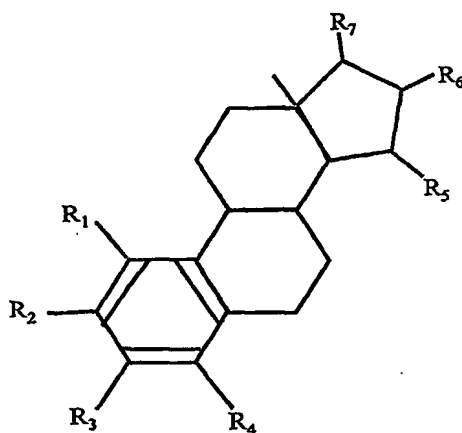
Consequently there is a need for estrogenic substances that:

- 5 (a) are more effective in a method of treating immune mediated diseases as the aforementioned biogenic estrogens and/or  
(b) have a significantly longer halflife than the aforementioned biogenic estrogens and/or  
(c) are orally administerable without causing significant hepatic effects and/or  
(d) produce less undesirable side-effects than the aforementioned biogenic estrogens.

10

## SUMMARY OF THE INVENTION

The inventors have surprisingly found that these requirements are met by estrogenic  
15 substances that are represented by the following formula



in which formula R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, R<sub>4</sub> independently are a hydrogen atom, a hydroxyl group or an alkoxy group with 1-5 carbon atoms; each of R<sub>5</sub>, R<sub>6</sub>, R<sub>7</sub> is a hydroxyl group; and no more than 3 of R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, R<sub>4</sub> are hydrogen atoms.

20 A known representative of this group of estrogenic substances is 1,3,5 (10)-estratrien-3, 15 $\alpha$ ,16 $\alpha$ ,17 $\beta$ -tetrol, also known by the names of estetrol, oestetrol and 15 $\alpha$ -hydroxyestriol. Estetrol is an estrogen that is produced by the fetal liver during human pregnancy. Unconjugated estetrol levels in maternal plasma peak at about 1.2 ng/ml at term pregnancy

and are about 12 times higher in fetal than in maternal plasma (Tulchinsky et al., 1975. J. Clin. Endocrinol. Metab., 40, 560-567).

In 1970, Fishman et al., "Fate of  $15\alpha$ -hydroxyestriol- $^3\text{H}$  in Adult Man", J Clin Endocrinol Metab (1970) 31, 436-438, reported the results of a study wherein tritium labeled  
5  $15\alpha$ -hydroxyestriol (estetrol) was administered intravenously to two adult women. It was found that the estetrol was rapidly and completely excreted in urine as the glucosiduronate and that virtually no metabolism except for conjugation took place.

Between 1975 and 1985 several researchers have investigated the properties of estetrol and reported on its estrogenic potency and uterotrophic activity. The most relevant  
10 publications that were issued during this period are mentioned below:

- Levine et al., 1984. Uterine vascular effects of estetrol in nonpregnant ewes. Am. J. Obstet. Gynecol., 148:73, 735-738: "When intravenously administered in nonpregnant ewes, estetrol is 15 to 30 times less potent than estriol and  $17\beta$ -estradiol in uterine vasodilation".
- 15 • Jozan et al., 1981. Different effects of oestradiol, oestriol, oestetrol and of oestrone on human breast cancer cells (MCF-7) in long term tissue culture. Acta Endocrinologica, 98, 73-80: "Estetrol agonistic potency is 2% of the magnitude observed for  $17\beta$ -estradiol in in vitro cell proliferation".
- Holinka et al., 1980. Comparison of effects of estetrol and tamoxifen with those of estriol and estradiol on the immature rat uterus. Biol. Reprod. 22, 913-926: "Subcutaneously  
20 administered estetrol has very weak uterotrophic activity and is considerable less potent than  $17\beta$ -estradiol and estriol".
- Holinka et al., 1979. In vivo effects of estetrol on the immature rat uterus. Biol. Reprod. 20, 242-246: "Subcutaneously administered estetrol has very weak uterotrophic activity  
25 and is considerable less potent than  $17\beta$ -estradiol and estriol".
- Tseng et al., 1978. Heterogeneity of saturable estradiol binding sites in nuclei of human endometrium. Estetrol studies. J. Steroid Biochem. 9, 1145-1148: "Relative binding of estetrol to estrogen receptors in the human endometrium is 1.5 % of  $17\beta$ -estradiol".
- Martucci et al., 1977. Direction of estradiol metabolism as a control of its hormonal  
30 action-uterotrophic activity of estradiol metabolites. Endocrin. 101, 1709-1715: "Continuous administration of estetrol from a subcutaneous depot shows very weak uterotrophic activity and is considerably less potent than  $17\beta$ -estradiol and estriol".

- Tseng et al., 1976. Competition of estetrol and ethynylestradiol with estradiol for nuclear binding in human endometrium. *J. Steroid Biochem.* 7, 817-822: "The relative binding constant of estetrol binding to the estrogen receptor in the human endometrium is 6.25% compared to 17 $\beta$ -estradiol (100%)".
- 5 • Martucci et al., 1976. Uterine estrogen receptor binding of catecholestrogens and of estetrol (1,3,5(10)-estratriene-3,15 $\alpha$ ,16 $\alpha$ ,17 $\beta$ -tetrol). *Steroids*, 27, 325-333: "Relative binding affinity of estetrol to rat uterine cytosol estrogen receptor is 0.5% of 17 $\beta$ -estradiol (100%). Furthermore, the relative binding affinity of estetrol to rat uterine nuclear estrogen receptor is 0.3% of 17 $\beta$ -estradiol (100%)".

10 All of the above publications have in common that the authors have investigated the estrogenic potency of estetrol. Without exception they all conclude that estetrol is a weak estrogen. In some of the cited articles the estrogenic potency of estetrol has been found to be much lower than that of the widely used and relatively weak estrogen 17 $\beta$ -estradiol. With these findings in mind, it is not surprising that the interest in estetrol has dwindled since the  
15 early eighties and that no publications on the properties of estetrol have been issued since.

The inventors have surprisingly found that, despite its low potency, estetrol as well as related estrogenic substances may advantageously be used in a method of treating immune mediated disorders. Although the inventors do not wish to be bound by theory, it is believed that the unexpected efficacy of the present estetrol-like substances results from the  
20 combination of the unexpected favourable pharmacodynamic and pharmacokinetic properties of these substances as well as their relatively high affinity for the estrogen receptor  $\alpha$  (ER $\alpha$ ) as compared to the estrogen receptor  $\beta$  (ER $\beta$ ). The latter characteristic is an unique feature of the estrogenic substances employed in the present method.

As regards the pharmacokinetic properties of the present estrogenic substances the  
25 inventors have discovered that their *in vivo* half-life is considerably longer than that of other biogenic estrogens. Thus, even though estetrol and estetrol-like substances have relatively low estrogenic potency, they may effectively be employed in a method of treating immune mediated disorders because their low potency is compensated for by a relatively high metabolic stability as demonstrated by a long half-life.

30 The relatively high affinity of the present estrogenic substances for the ER $\alpha$  receptor, or conversely the relatively low affinity for the ER $\beta$  receptor, is believed to be somehow associated with the high efficacy of the present substances in the treatment of immune mediated disorders. However, as will become apparent below, the mechanisms that govern

the ER signalling pathways that are responsible for this efficacy are as yet poorly understood, despite the considerable scientific effort that is ongoing in this area.

It is known that most estrogens bind to both ERs which, in the presence of tissue-specific co-activators and/or co-repressors, bind to an estrogen response element in the regulatory region of genes or to other transcription factors. Given the complexity of ER signalling, along with the tissue-specific expression of ER $\alpha$  and ER $\beta$  and its co-factors, it is now recognised that ER ligands can act as estrogen agonists or even as estrogen antagonists in a tissue-specific manner.

It is also known that the ER $\alpha$  and ER $\beta$  receptors, have significantly different amino acid sequences in the ligand binding domain and carboxy-terminal transactivation domains (about 56% amino acid identity), and only 20% homology in their amino-terminal transactivation domain. This explains why some ligands have higher affinity to one receptor over the other. In addition, interaction with co-factors may result in very different biological actions of a single ligand. In other words, a ligand that acts as an agonist on ER $\alpha$  is not necessarily also an agonist on ER $\beta$  and vice versa.

Furthermore it is now known that estrogen modulates cellular pharmacology through gene expression, and that the estrogen effect is mediated by the estrogen receptors. The effect of the estrogen receptor on gene regulation can be mediated by a direct binding of ER to the estrogen response element, binding of ER to other transcription factors such as NF- $\kappa$ B, C/EBP $\beta$  and through non-genomic effects involving ion channel receptors. Progress over the last few years has shown that ER associates with co-activators (e.g., SRC-1, CBP and SRA) and co-repressors (e.g., SMRT and N-CoR), which also modulate the transcriptional activity of ER in a tissue-specific and ligand-specific manner. In addition, evidence now suggests that the majority of estrogen-regulated genes do not have a classical estrogen response element. In such cases, ER interacts with the transcription factors critical for regulation of these genes.

Transcription factors known to be modulated in their activity by ER include, for example, AP-1, NF- $\kappa$ B, C/EBP and Sp-1.

Given the complexity of ER signalling, as well as the various types of tissue that express ER and its co-factors, it is commonly believed that ER ligands can no longer simply be classified as either pure antagonists or agonists. This view is supported by the findings of Paech et al. (Science 277, 1508-1510, 1997) who have reported that 17 $\beta$ -estradiol activates an AP-1 site in the presence of ER $\alpha$ , but inhibits the same site in the presence of ER $\beta$ . In contrast, the ER ligands raloxifene (Eli Lilly & Co.) and tamoxifen and ICI-182,780 (Zeneca

Pharmaceuticals) stimulate the AP-1 site through ER $\beta$ , but inhibit this site in the presence of ER $\alpha$ .

ER $\alpha$  and ER $\beta$  are known to have both overlapping and different tissue distributions, as analysed predominantly by RT-PCR or in-situ hybridisation. Very often tissues express both ER $\alpha$  and ER $\beta$ , but the receptors are localised in different cell types. In addition, some tissues (such as kidney) contain exclusively ER $\alpha$ , while other tissues (such as uterus, pituitary and epididymis) show a great predominance of ER $\alpha$ . (Couse et al., Endocrinology 138, 4613-4621, 1997; Kuiper et al., Endocrinology 138, 863-870, 1997). The development of ER $\alpha$ . (Korach, Science 266, 1524-1527, 1994) and ER $\beta$  (Krege et al., Proc. Natl. Acad Sci. USA 95, 15677-82, 1998) knockout mice has produced further evidence that each of the ERs has different functions in different tissues.

In summary, although the mechanisms by which the present estrogenic substances exert their favourable effect are as yet unknown, it is evident that these substances differ from other biogenic estrogens in at least 2 relevant aspects. Firstly the present estrogenic substances exhibit an surprisingly long *in vivo* half-life. Secondly, the affinity of these substances for the ER $\alpha$  receptor in comparison to the ER $\beta$  receptor is much higher than has been observed for other (biogenic) estrogens.

Another advantageous property of the present estrogenic substances resides in the fact that sex hormone-binding globulin (SHBG) hardly binds these estrogenic substances, meaning that, in contrast to most known estrogens, serum levels are representative for bio-activity and independent of SHBG levels.

Yet another important benefit of the present estrogenic substances is derived from their relative insensitivity to interactions with other drugs (drug-drug interactions). It is well known that certain drugs may decrease the effectiveness of estrogens, such as ethinyl estradiol, and other drugs may enhance their activity, resulting in possible increased side-effects. Similarly estrogens may interfere with the metabolism of other drugs. In general, the effect of other drugs on estrogens is due to interference with the absorption, metabolism or excretion of these estrogens, whereas the effect of estrogens on other drugs is due to competition for metabolic pathways.

The clinically most significant group of estrogen-drug interactions occurs with drugs that may induce hepatic microsomal enzymes which may decrease estrogen plasma levels below therapeutic level (for example, anticonvulsant agents; phenytoin, primidone, barbiturates, carbamazepine, ethosuximide, and methosuximide; antituberculous drugs such

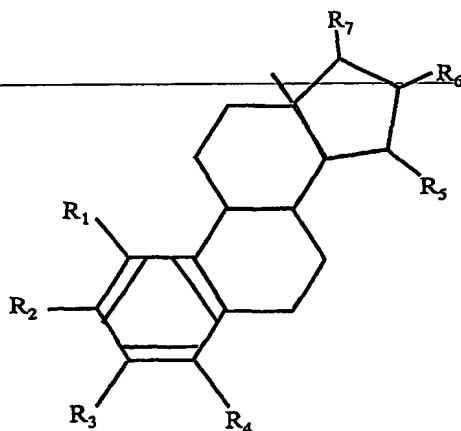
as rifampin; antifungal drugs such as griseofulvin). The present estrogenic substances are less dependent on up- and downregulation of microsomal liver enzymes (e.g. P450's) and also are less sensitive to competition with other P450 substrates. Similarly, they do not interfere significantly in the metabolism of other drugs.

5           The conjugates of most estrogens, as formed in the liver, are excreted in the bile and may be broken down by gut bacteria in the colon to liberate the active hormone which can then be reabsorbed (enterohepatic recirculation). There are clinical reports that support the view that enterohepatic recirculation of estrogens decreases in women taking antibiotics such as ampicillin, tetracycline, etc. Conjugated forms of the present estrogenic substances are  
10       hardly excreted in the bile, meaning that they are substantially insensitive to drugs that do influence the enterohepatic recirculation of other estrogens.

          The above observations serve to explain why the estrogenic substances of the invention hardly suffer from drug-drug interactions and thus produce a very consistent, i.e. predictable, impact.

#### 15       DETAILED DESCRIPTION OF THE INVENTION

          One aspect of the present invention relates to a method of treating or preventing an immune mediated disorder in a mammal, said method comprising the administration of a  
20       therapeutically effective amount of an estrogenic component to said mammal, wherein the estrogenic component is selected from the group consisting of:  
substances represented by the following formula



in which formula  $R_1$ ,  $R_2$ ,  $R_3$ ,  $R_4$  independently are a hydrogen atom, a hydroxyl group or an alkoxy group with 1-5 carbon atoms; each of  $R_5$ ,  $R_6$ ,  $R_7$  is a hydroxyl group; no more than 3 of  $R_1$ ,  $R_2$ ,  $R_3$ ,  $R_4$  are hydrogen atoms; precursors capable of liberating a substance according to the aforementioned formula when used in the present method; and mixtures of one or more of the aforementioned substances and/or precursors.

The present estrogen substances are distinct from both the biogenic and synthetic estrogens that are commonly applied in pharmaceutical formulations in that they contain at least 4 hydroxyl groups. The present substances are particularly special in that the 5 membered ring in the steroid skeleton comprises 3 hydroxyl substituents rather than 0-2.

Examples of commercially available estrogens that contain at least 4-hydroxyl groups and their precursors are:

1, 3, 5(10)-estratrien-2, 3, 15 $\alpha$ , 16 $\alpha$ , 17 $\beta$ - pentol 2-methyl ether

1, 3, 5(10)-estratrien-2, 3, 15 $\beta$ , 16 $\alpha$ , 17 $\beta$ - pentol 2-methyl ether

1, 3, 5(10)-estratrien-2, 3, 16 $\alpha$ , 17 $\beta$ - tetrol

1, 3, 5(10)-estratrien-3, 4, 16 $\alpha$ , 17 $\beta$ - tetrol 4-methyl ether

1, 3, 5(10)-estratrien-3, 15 $\alpha$ , 16 $\alpha$ , 17 $\beta$ - tetrol

1, 3, 5(10)-estratrien-3, 15 $\alpha$ , 16 $\alpha$ , 17 $\beta$ - tetrol tetra acetate

1, 3, 5(10)-estratrien-3, 15 $\beta$ , 16 $\beta$ , 17 $\beta$ - tetrol tetra acetate

Preferably, the estrogenic component applied as the active component in the present composition is a so called biogenic estrogen, i.e. an estrogen that occurs naturally in the human body, a precursor of a biogenic estrogen or a mixture thereof. Because biogenic estrogens are naturally present in the fetal and female body, side-effects are not expected to occur, particularly not if the serum levels resulting from the exogenous administration of such estrogens do not substantially exceed naturally occurring concentrations.

In a preferred embodiment of the present invention the estrogenic substance contains 4 hydroxyl groups. Also, in the aforementioned formula,  $R_1$  preferably represents a hydrogen atom. In said formula preferably at least 2, more preferably at least 3 of the groups  $R_1$ ,  $R_2$ ,  $R_3$  and  $R_4$  represent a hydrogen atom.

The estrogenic substances according to the formula encompass various enantiomers since the carbon atoms that carry hydroxyl-substituents  $R_5$ ,  $R_6$  and  $R_7$  are chirally active. In one preferred embodiment, the present estrogenic substance is 15 $\alpha$ -hydroxy substituted. In another preferred embodiment the substance is 16 $\alpha$ -hydroxy substituted. In yet another preferred embodiment, the substances is 17 $\beta$ -hydroxy substituted. Most preferably the estrogenic substances are 15 $\alpha$ , 16 $\alpha$ , 17 $\beta$ -trihydroxy substituted.

In a preferred embodiment of the present invention  $R_3$  represents a hydroxyl group or an alkoxy group. In another preferred embodiment the groups  $R_1$ ,  $R_2$  and  $R_4$  represent hydrogen atoms, in which case, if  $R_3$ ,  $R_5$ ,  $R_6$  and  $R_7$  are hydroxyl groups, the substance is 1,3,5 (10)-estratrien-3, 15,16,17-tetrol. A preferred isomer of the latter substance is 1,3,5 (10)-estratrien-3, 15 $\alpha$ ,16 $\alpha$ ,17 $\beta$ -tetrol (estetrol).

The invention also encompasses the use of precursors of the estrogen substances that constitute the active component in the present method. These precursors are capable of liberating the aforementioned estrogen substances when used in the present method, e.g. as a result of metabolic conversion. These precursors are preferably selected from the group of derivatives of the present estrogen substances, wherein the hydrogen atom of at least one of the hydroxyl groups has been substituted by an acyl radical of a hydrocarbon carboxylic, sulfonic acid or sulfamic acid of 1-25 carbon atoms; tetrahydrofuranyl; tetrahydropyranyl; or a straight or branched chain glycosidic residue containing 1-20 glycosidic units per residue. Typical examples of precursors which can suitably be used in accordance with the invention are esters that can be obtained by reacting the hydroxyl groups of the estrogen substances with substances that contain one or more carboxy ( $M^+OOC-$ ) groups, wherein  $M^+$  represents a hydrogen or (alkali)metal cation. Hence, in a particularly preferred embodiment, the precursors are derivatives of the estrogen substances, wherein the hydrogen atom of at least one of the hydroxyl groups in said formula has been substituted by  $-CO-R$ , wherein  $R$  is a hydrocarbon radical comprising from 1-25 carbon atoms. Preferably  $R$  is hydrogen, or an alkyl, alkenyl or aryl radical comprising from 1-20 carbon atoms.

The present method is particularly effective when used in the treatment or prevention of chronic immune mediated disorders. Consequently, in a preferred embodiment, the method comprises the uninterrupted administration of the estrogenic component during a period of at least 5 days, preferably of at least 30 days.

The present method may suitably employ enteral or parenteral administration of the estrogenic component. The term "parenteral administration" as used in here encompasses transdermal, intravenous, intranasal, intravaginal, pulmonary, buccal, subcutaneous, intramuscular and intra-uterine administration. The term "enteral administration" includes oral as well as rectal administration.

Preferably the mode of administration is selected from the group consisting of oral, transdermal, intravenous, intranasal, intravaginal, pulmonary, rectal, buccal, subcutaneous, intramuscular or intra-uterine administration. More preferably the mode of administration is selected from the group consisting of oral, transdermal, intravenous, subcutaneous, intranasal,

pulmonary and vaginal administration. In a particularly preferred embodiment the present method employs oral, transdermal, intranasal or subcutaneous administration. Even more preferably the present method employs oral or subcutaneous administration.

Oral, intravenous, subcutaneous, intramuscular, intranasal, rectal, buccal and pulmonary administration are ideally suited for (at least) once daily administration. Transdermal administration is advantageously applied at frequencies between once a day and once a month. Intravaginal and intra-uterine administrations are advantageously operated at administration frequencies between once weekly and once monthly. Subcutaneous and intramuscular administration may also suitably be done in the form of depot injections at intervals of 1 week to 6 months, preferably at intervals of 4 weeks to 3 months.

For reasons of convenience, the present method preferably utilises administration intervals of 1 day, 1 week or 1 month. Regimens that employ once daily oral, subcutaneous, intravenous or intranasal administration, once weekly transdermal or once monthly intravaginal or subcutaneous administration are particularly preferred.

Irrespective of the mode of administration, the estrogenic component is preferably administered in an amount effective to achieve a blood serum concentration of at least 5 nanogram per litre, more preferably of at least 50 nanogram per litre, most preferably at least 500 nanogram per litre. Generally the resulting blood serum concentration of the estrogenic component will not exceed 200 µg per litre, preferably it will not exceed 10 µg per litre, more preferably it will not exceed 50 µg per litre.

In accordance with the present method the estrogenic component is usually administered in an amount of less than 2 mg per kg of bodyweight per day, preferably of less than 0.8 mg per kg of bodyweight per day. In order to achieve a significant impact from the administration of the estrogenic component, it is advisable to administer in an amount of at least 5 µg per kg of bodyweight per day. Preferably, the administered amount is at least 25 µg per kg of bodyweight per day.

Oral administration of the active component is preferably done in an amount of less than 800 µg per kg of bodyweight per day, preferably of less than 400 µg per kg of bodyweight per day. In order to achieve a significant impact from the administration of the active component, it is advisable to orally administer in an amount of at least 10 µg per kg of bodyweight per day. Preferably, the orally administered amount is at least 25 µg per kg of bodyweight per day. In the present method, particularly when used in humans, the estrogenic component is usually administered in an average dosage of at least 0.25 mg per day,

preferably of at least 0.5 mg per day. The maximum dosage is normally kept below 80 mg per day, preferably below 40 mg per day.

The present method of treatment preferably comprises administering to a person in need of such a therapy an effective amount of the estrogenic component. The amounts needed to be effective will differ from individual to individual and are determined by factors such as the individual's level of estrogen deficiency, body weight, route of administration and the efficacy of the particular estrogenic substance used.

In the present method, particularly when used in humans, the estrogenic component is usually orally administered in an average dosage of between 0.05 and 40 mg per day, preferably of between 0.25 and 20 mg per day. Similarly, parenteral dosage preferably are at least 0.25, preferably of at least 0.5 mg per day. The average maximum parenteral dosage is normally kept below 80 mg per day, preferably below 40 mg per day.

In a particularly preferred embodiment of the invention the method employs oral administration of the active estrogenic component. The term oral administration as used in here also encompasses oral gavage administration. The inventors have surprisingly found that, despite its low potency, estetrol and related estrogenic substances may advantageously be administered orally. Although the inventors do not wish to be bound by theory, it is believed that the unexpected efficacy of orally administered estetrol-like substances results from the combination of special pharmacokinetic (ADME) and pharmacodynamic properties of these substances.

The inventors have discovered that the oral bioavailability of estetrol-like substances is surprisingly high and that their *in vivo* half-life is considerably longer than that of commonly used biogenic estrogens. Thus, even though estetrol and estetrol-like substances have relatively low estrogenic potency, they may effectively be administered orally.

Another important advantage of oral administration of estetrol and estetrol-like substances resides in the fact that the hepatic effects of these substances are deemed to be minimal since they are hardly metabolised during the so called "first pass". The first-pass effect of drugs given orally refers to the process of drug degradation by the liver during a drug's transition from initial ingestion to circulation in the blood stream. After resorption from the intestinal lumen, orally applied active ingredients enter the organism via the liver. This fact is of specific importance for estrogenic agents as the liver is a target organ for estrogens; oral intake of estrogens results in strong estrogenic effects in the liver. Therapeutically equivalent doses of commonly used biogenic estrogens, when applied orally, result in clear

responses of hepatic parameters, such as increase of SHBG, CBG, angiotensinogen and HDL (high density lipoprotein).

The present method may suitably be used in the (prophylactic) treatment of a wide variety of immune mediated disorders. The term "immune mediated disorder" as used in here, refers to any undesired immune reaction or pathology that is mediated or partially mediated by a cell of the lymphoid lineage (including T lymphocytes, B Lymphocytes) or myeloid lineage (including granulocytes, macrophages and monocytes) and which is detrimental to the mammal in which it occurs. Such undesired immune reactions or pathologies may be mediated by T lymphocytes or are multifactorial, e.g. chronic inflammatory diseases, including autoimmune diseases, immune pathologies induced by infectious agents, immune reactions by or against allografts, , atopic responses, allergic reactions and immunoproliferative disorders.

The present method is particularly suitable for treating T-lymphocyte-mediated and/or chronic inflammatory diseases, including, but not limited to autoimmune diseases, such as multiple sclerosis, rheumatoid arthritis, osteoarthritis, insulin dependent diabetes (type I diabetes), systemic lupus erythematosus and psoriasis, immune pathologies induced by infectious agents, such as helminthic (e.g., leishmaniasis) and certain viral infections, including HIV, and bacterial infections, including Lyme disease, tuberculosis and lepromatous leprosy, transplant rejection, graft versus host disease and atopic conditions, such as asthma and allergy, including allergic rhinitis, gastrointestinal allergies, including food allergies, eosinophilia, conjunctivitis and glomerular nephritis.

Many immune mediated disorders are multi-factorial, characterized by activation of multiple types of inflammatory cells, particularly cells of lymphoid lineage (including Th1 lymphocytes, Th2 lymphocytes, B-lymphocytes) and myeloid lineage (including granulocytes, macrophages, and monocytes). (Pro)inflammatory mediators, including cytokines, such as tumor necrosis factor (TNF) and interleukin-1 (IL-1), are produced by these activated cells and antibody and complement responses are common. In a particularly preferred embodiment of the invention the present method is used for treating Th1-mediated immune mediated disorders ("Th1 mediated disorders"). Th1 mediated disorders are pathologies in which the detrimental immune response is primarily or partially a T helper 1 (Th1) type immune response. A Th1 immune response is characterised by secretion of (pro)inflammatory cytokines such IL-12, INF- $\gamma$  and TNF- $\alpha$ . Th1-mediated disorders include most autoimmune diseases, many alloimmune mediated disorders, certain allergic conditions, certain chronic inflammatory conditions and certain immune pathologies induced by

infectious agents. An immune mediated disorder may alternatively be mediated through a Th2 immune response. In contrast to a Th1-mediated response, a Th2 mediated response is characterised by secretion of anti-inflammatory cytokines, such as IL-4, IL-10, IL-13 and TGF- $\beta$ .

5           The present method is particularly suitable for treating or preventing immune mediated disorders selected from the group consisting of autoimmune pathologies and chronic inflammatory responses. Examples of autoimmune pathologies that can effectively be treated include multiple sclerosis (nerve cells), rheumatoid arthritis (cartilage, joint lining), osteoarthritis (cartilage), type I diabetes (pancreas), systemic lupus erythematosus (multiple  
10   tissues), psoriasis (skin), Alzheimer's disease (central nervous system), myasthenia gravis (neuromuscular junction), Crohn's disease (intestine), epididymitis (epididymis), glomerulonephritis (kidneys), Graves' disease (thyroid), Guillain-Barre syndrome (nerve cells), Hashimoto's disease (thyroid), hemolytic anemia (red blood cells), pemphigus (primarily skin), rheumatic fever (heart and joints), sarcoidosis (multiple tissues and organs),  
15   dermatomyositis (multiple tissues and organs) scleroderma (skin and connective tissues), Sjogren's syndrome (exocrine glands, and other tissues), spondyloarthropathies (axial skeleton, and other tissues), thyroiditis (thyroid), vasculitis (blood vessels), vitiligo (skin), Addison's disease (adrenal gland). Examples of chronic inflammatory responses that can suitably be treated with the present method include inflammatory responses associated with  
20   cardiovascular diseases, coronary disease, cirrhosis, arthritic diseases, cholestasis, tuberculosis, leprosy, syphilis, periodontitis, fibrosis, glomerulonephritis and certain cancers as well as chronic inflammatory responses to infectious agents such as bacteria, viruses, fungi, protozoa, helminths and prions.

          The method of the invention is deemed to be particularly suitable for the treatment of  
25   immune mediated disorders resulting in neurological pathologies, e.g multiple sclerosis, Alzheimers disease, myesthenia gravis, Guillain-Barre syndrome, amyotrophic lateral sclerosis.

          The present method is also deemed to be very suitable for the treatment of immune mediated disorders resulting in musculoskeletal pathologies, e.g. rheumatoid arthritis,  
30   osteoarthritis, and related inflammatory arthritic diseases, comprising gout, spondylarthropathris, ankylosing spondylitis, Reiter's syndrome, psoriatic arthropathy, enterapathric spondylitis, juvenile arthropathy or juvenile ankylosing spondylitis, reactive arthropathy, infectious or post-infectious arthritis, gonococcal arthritis, tuberculous arthritis, viral arthritis, fungal arthritis, syphilitic arthritis, Lyme disease, arthritis associated with

"vasculitic syndromes", polyarteritis nodosa, hypersensitivity vasculitis, Luegenec's granulomatosis, polymyalgia rheumatica, joint cell arteritis, calcium crystal deposition arthropathies, pseudo gout, non-articular rheumatism, bursitis, tenosynovitis, epicondylitis, carpal tunnel syndrome, repetitive use injury, miscellaneous forms of arthritis, neuropathic joint disease (Charcot's and joint), hemarthrosis (hemarthrosic), Henoch-Schönlein Purpura, hypertrophic osteoarthropathy, multicentric reticulohistiocytosis, arthritis associated with certain diseases, such as sickle cell disease, hemochromatosis, sickle cell disease and other hemoglobinopathies, hyperlipoproteinemia, hypogammaglobulinemia, hyperparathyroidism, acromegaly, familial Mediterranean fever, Behçet's Disease, systemic lupus erythematosus, or relapsing polychondritis.

The method of the invention is deemed to be particularly advantageous when it is used in the (prophylactic) treatment of an immune mediated disorder selected from the group consisting of multiple sclerosis, rheumatoid arthritis, osteoarthritis, insulin dependent diabetes (type I diabetes), systemic lupus erythematosus and psoriasis. Most advantageously the present method is employed in the treatment of multiple sclerosis, rheumatoid arthritis or osteoarthritis.

In order to reinforce the action of the estrogenic component it may be advantageous to co-administer an immunotherapeutic agent, that is capable of prophylactically or therapeutically inhibiting an immune response and/or of ameliorating immune pathology.. Such agents are known in the art and comprise immunosuppressive, immunomodulatory, immunoblocking and/or anti-inflammatory agents and may be administered in combination with the estrogenic component, i.e. in a single unit dosage form, or alternatively these active principles may be administered separately, especially if the modes of administration are different.

In a specific embodiment, the estrogenic component of the present invention is administered in combination with one or more immunotherapeutic drugs to a mammal having or being susceptible to multiple sclerosis. Administration of the estrogenic component of the present invention in combination with one or more immunotherapeutic drugs may enhance the effectiveness of the treatment. In addition, such a combination may generate fewer or less pronounced side effects, and/or can make it possible to administer a lower dose of the known immunotherapeutic drug or estrogenic component to produce the same effect as a substantially higher dose of either component alone. The immunotherapeutic drug for use in the treatment of multiple sclerosis can suitably be: (1) a steroidal anti-inflammatory agent, such as cortisol or dexamethasone (2) nonsteroidal anti-inflammatory agent such as acetylsalicylic

(aspirin), ibuprofen, or naproxen; (3) D-pencillamine; (4) a 4-aminoquinoline agent such as hydroxychloroquine; (5) azathioprine; (6) methotrexate; (7) cyclosporin; (8) monoclonal antibodies to T lymphocytes; (9) monoclonal antibodies to adhesion molecules; (10) monoclonal antibodies to cytokines and growth factors; (11) Tumor Necrosis Factor Receptor (TNFR)-IgG; (12) IL-1 receptor antagonists; (13) ICE inhibitors, (14) betaferon and/or (15) vitamin D, alpha.,25-dihydroxyvitamin D.sub.3 and 1.alpha.,25-dihydroxyvitamin D.sub.2, (16) agents that specifically bind a molecule selected from the group consisting of a T cell receptor, an antigen and a HLA molecule.

In another specific embodiment, the estrogenic component of the present invention is administered in combination with one or more immunotherapeutic drugs to a mammal having or being susceptible to rheumatoid arthritis. Administration of the estrogenic component of the present invention in combination with one or more immunotherapeutic drugs may enhance the effectiveness of the treatment of arthritic diseases such as rheumatoid arthritis and osteoarthritis. In addition, such a combination may provide less side effects, and/or enable administration of a lower dose of the immunotherapeutic drug or estrogenic component whilst producing the same effect as a substantially higher dose of either component alone. The immunotherapeutic drug for use in the treatment of rheumatoid arthritis can be: (1) a steroidal anti-inflammatory agent, such as cortisol or dexametason (2) nonsteroidal anti-inflammatory agent such acetylsalicylic (aspirin), ibuprofen, or naproxen; (3) an organic gold derivative such a gold sodium thiomalate, aurothioglucose, or auranofin; (4) D-pencillamine; (5) a 4-aminoquinoline agent such as hydroxychloroquine; (6) azathioprine; (7) methotrexate; (8) cyclosporin; (9) an angiogenesis inhibitor such as AGM-1470 (Ingber, et al., 1990, Nature 348, 555); (10) monoclonal antibodies to T lymphocytes; (11) monoclonal antibodies to adhesion molecules; (12) monoclonal antibodies to cytokines and growth factors; (13) Tumor Necrosis Factor Receptor (TNFR)-IgG; (14) IL-1 receptor antagonists; (15) ICE inhibitors, and/or (16) agents that specifically bind a molecule selected from the group consisting of a T cell receptor, an antigen and a HLA molecule.

In another preferred embodiment of the invention the present method comprises the co-administration of a progestogen, particularly if the method is employed in the treatment of female mammals. The administration of estrogens has been associated with endometrial proliferation in women and it is now widely accepted that "unopposed" estrogen administration during a prolonged period of time (estrogen therapy) substantially increases the risk of endometrial cancer (Cushing et al., 1998. Obstet. Gynecol.91, 35-39; Tavani et al., 1999. Drugs Aging, 14, 347-357). There is also evidence of a significant increase in breast

cancer with long-term (10-15 years) use of estrogen therapy (Tavani et al., 1999. *Drugs Aging*, 14, 347-357; Pike et al., 2000. *Steroids*, 65, 659-664).

In order to counteract the negative effects of prolonged unopposed estrogen therapy, adjunctive progestogen treatment is nowadays commonly applied in hormone replacement therapy in peri- and postmenopausal women. Regular progestogen administration is believed to inhibit the continual estrogen stimulation of the endometrium through an anti-proliferative effect and appears to reduce the incidence of endometrial carcinoma in post-menopausal women receiving estrogen replacement therapy (Beral et al., 1999. *J. Epidemiol. Biostat.*, 4, 191-210). In order to counteract any potential negative effects of unopposed estrogen administration in the present method, particularly in case of prolonged continuous administration, it is preferred to co-administer a progestogenic component to inhibit estrogen stimulation of the endometrium or to administer a progestogenic component at least during a period of ten days at least every three months.

Another aspect of the invention relates to a pharmaceutical formulation comprising the estrogenic component and the immunotherapeutic agent as defined herein before, and a pharmaceutically acceptable excipient. The present pharmaceutical formulation preferably contains at least 10 µg of the estrogenic component, more preferably at least 25 µg of said estrogenic component. Even more preferably the amount of the estrogenic component within the formulation is at least 50 µg, most preferably it will be at least 150 µg. The amount of the estrogenic component within the formulation will normally not exceed 1000 mg. Preferably the amount will not exceed 600 mg, most preferably it will not exceed 400 mg.

The immunotherapeutic agent is suitably present in the formulation in an amount of at least 1 µg, preferably at least 10 µg. Usually the amount of the immunotherapeutic agent within the formulation will not exceed 50 mg, preferably it will not exceed 25 mg.

The pharmaceutical formulation according to the invention can suitably be a solid or semi-solid dosage form such as tablets, capsules, cachets, pellets, pills, powders and granules, as well as fluid dosage forms such as solutions, emulsions, suspensions, ointments, pastes, creams, gels, jellies and foams.

The oral dosage unit according to the invention is preferably a solid or semi-solid dosage form such as tablets, capsules, cachets, pellets, pills, powders and granules. The term "solid or semi-solid dosage form" also encompasses capsules that contain a liquid, e.g. an oil, in which the present estrogenic component is dissolved or dispersed. Tablets and equivalent solid and semi-solid dosage forms can suitably contain materials such as binders (e.g. hydroxypropylmethyl cellulose, polyvinyl pyrrolidone, other cellulosic materials and starch),

diluents (e.g. lactose and other sugars, starch, dicalcium phosphate and cellulosic materials), disintegrating agents (e.g. starch polymers and cellulosic materials) and lubricating agents (e.g., stearates and talc).

5 Transdermal delivery systems include patches, gels, tapes and creams, and can contain excipients such as solubilisers, permeation enhancers (e.g. fatty acids, fatty acid esters, fatty alcohols and amino acids), hydrophilic polymers (e.g. polycarbophil and polyvinyl pyrrolidone) and adhesives and tackifiers (e.g. polyisobutylenes, silicone-based adhesives, acrylates and polybutene).

10 Transmucosal (notably rectal and intravaginal) delivery systems include patches, tablets, suppositories, pessaries, gels, and creams, and can contain excipients such as solubilizers and enhancers (e.g. propylene glycol, bile salts and amino acids), and other vehicles (e.g. polyethylene glycol, fatty acid esters and derivatives, and hydrophilic polymers such as hydroxypropylmethyl cellulose and hyaluronic acid).

15 Injectable or implantable depot preparations include injectable fluids and implantation tablets. Suitable fluid carrier components are physiologically compatible diluents wherein the active agents can be dissolved, suspended. An example of a diluent is water, with or without addition of electrolyte salts or thickeners. Thus, the depot formulation can be, for example, an aqueous microcrystalline suspension. Oils are particularly suitable as diluents, with or without the addition of a solubiliser, of a surfactant, or of a suspension or emulsifying agent.

20 Examples of suitable oils include arachidis oil, olive oil, peanut oil, cottonseed oil, soybean oil, castor oil, and sesame oil. Examples of solubilisers include benzyl alcohol and benzyl benzoate. Depot preparations offer the advantage that a single injection or implantation suffices for one or several months. Duration of the depot effect depends the nature of the estrogenic component (the ester precursors being preferred as they display a slower release),

25 the amount of the estrogenic component as well as on the type of carrier substance that releases the active agent. Generally, the duration will be in the range of 10-30 days, but longer or shorter times can also be achieved.

Other delivery systems that can be used for administering the pharmaceutical composition of the invention include intranasal and pulmonary delivery systems such as

30 sprays and microparticles.

Another, particularly preferred aspect of the present invention relates to an oral unit dosage form comprising at least 50 µg, preferably at least 250 µg of the estrogenic component and at least 1 µg of an immunotherapeutic agent as defined herein before, and a pharmaceutically acceptable excipient.

The present invention is further illustrated by the following examples, which, however, are not to be construed as limiting. The features disclosed in the foregoing description, in the following examples and in the claims may, both separately and in any combination thereof, be material for realising the invention in diverse forms thereof.

5

## EXAMPLES

### Example 1

10 Vaginal cornification was chosen as a tissue-specific and estrogen-sensitive endpoint to determine the estrogenicity of estetrol (E4), after both oral and subcutaneous administration, in hypoestrogenic rats. 17 $\alpha$ -ethinylestradiol (EE), 17 $\beta$ -estradiol (E2) and vehicle (10% ethanol/sesame oil) served as controls in these bioassays.

15 Uterine weight increase in the rat is more commonly used as a measure of estrogenicity. However, uterine weight also responds to progesterone, testosterone, and other agents not characteristically regarded as estrogens. In the early 1920s it was discovered that follicular fluid from the pig ovary contained a factor(s) that caused cornification/keratinization of the vaginal epithelium in the rat (Allen and Doisy, 1923, JAMA, 81, 819-821; Allen and Doisy, 1924, Am. J. Physiol., 69, 577-588). The so-called  
20 vaginal cornification response in rats subsequently provided a bioassay for testing estrogenicity. Vaginal epithelial cornification/keratinization in ovariectomized rats can be produced only by compounds considered to be true estrogens (Jones et al, 1973, Fert. Steril. 24, 284-291). Vaginal epithelial cornification/keratinization represents, therefore, a highly selective endpoint to determine the potency of estrogens (Reel et al., 1996, Fund. Appli.  
25 Toxicol. 34, 288-305).

Adult intact female CD rats were ovariectomized to induce estrogen deficiency. Vaginal lavages were performed daily for seven days to ensure that the rats demonstrated castrate vaginal smears (predominance of leukocytes in the vaginal smear, and similar in appearance to a diestrous vaginal smear). Castrate vaginal smears are indicative that complete  
30 ovariectomy was achieved. Treatment commenced following completion of the 7 days of smearing (day 0 = first day of dosing). Animals were dosed, once daily for 7 consecutive days. Daily vaginal lavages continued to be obtained for 7 days after dosing was initiated in order to detect vaginal cornification, as an indication of an estrogenic response. A drop of vaginal washings was placed on a glass slide and examined by light microscopy to detect the

presence or absence of cornified epithelial cells. Vaginal lavages were obtained prior to dosing on days 0-6 and prior to necropsy on day 7.

The vaginal cornification bioassay was performed in order to determine the estrogenic profile of E4 when given subcutaneously (sc) to ovariectomized adult rats. E2 was used as a positive control. The vehicle (10% ethanol/sesame oil) served as the negative control. Steroids were dissolved in absolute ethanol and then brought to the final concentration with sesame oil (10% ethanol in sesame oil). A vaginal estrogenic response occurred in 8/8 rats by day 2 and persisted through day 7 in rats injected sc with 50 µg/kg/day E2 for 7 days (Table 1). Animals treated with the vehicle did not exhibit vaginal epithelial cornification (Table 1). The onset of vaginal epithelial cornification was dose-dependent in rats injected sc with 0.1, 0.3, 1.0, and 3.0 mg/kg/day E4 and started at the same day of treatment (Day 2) as observed for E2 (Table 1). At 0.1 mg/kg/day E4 already 4/8 rats and at 0.3 mg/kg/day E4 even 7/8 rats exhibited a vaginal estrogenic response by day 7. At 1.0 and 3.0 mg/kg/day E4 all rats showed a vaginal estrogenic response by day 7 (Table 1).

**Table 1:** Vaginal estrogenic response in ovariectomized rats treated subcutaneously (sc) with 17β-estradiol (E2) or estetrol (E4). Data are expressed as the number of rats showing vaginal cornification over the number of rats (ratio) treated.

Treatment Group	Dosing route	Number of Rats Exhibiting Estrogenic Response/ Number of Rats Treated							
		Day of Study							
		Day 0	Day 1	Day 2	Day 3	Day 4	Day 5	Day 6	Day 7
0.05 mg/kg/day E2	sc	0/8	0/8	8/8	8/8	8/8	8/8	8/8	8/8
Vehicle Control	sc	0/8	0/8	0/8	0/8	0/8	0/8	0/8	0/8
0.1 mg/kg/day E4	sc	0/8	0/8	0/8	1/8	1/8	4/8	3/8	4/8
0.3 mg/kg/day E4	sc	0/8	0/8	1/8	5/8	7/8	6/8	7/8	7/8
1.0 mg/kg/day E4	sc	0/8	0/8	1/8	6/8	8/8	7/8	8/8	8/8
3.0 mg/kg/day E4	sc	0/8	0/8	3/8	8/8	8/8	8/8	8/8	8/8

The vaginal cornification bioassay was performed in order to determine the estrogenic profile of E4 when given orally (po) to ovariectomized adult rats. EE was used as a positive control. The vehicle (10% ethanol/sesame oil) served as the negative control. Steroids were dissolved in absolute ethanol and then brought to the final concentration with sesame oil (10% ethanol in sesame oil). A vaginal estrogenic response occurred in all rats (8/8) given 50  $\mu\text{g/kg/day}$  EE po by day 7 (Table 2). Similarly, vaginal epithelial cornification was observed in all rats (8/8) treated po with either 0.1, 0.3, 1.0, or 3.0 mg/kg/day E4 by day 7 (Table 2), whereas animals treated with the vehicle did not exhibit vaginal epithelial cornification (0/8). Surprisingly, even in rats given relatively low doses of E4 (e.g. 0.1 mg/kg/day), the onset of vaginal cornification (defined as the amount of animals responding at days 1-3 of the study) was faster in po-treated than in sc-treated animals, demonstrating estetrol's superb bioavailability characteristics after oral administration.

Table 2: Vaginal estrogenic response in ovariectomized rats treated orally (po) with  $17\alpha$ -ethinyl estradiol (EE) or estetrol (E4). Data are expressed as the number of rats showing vaginal cornification over the number of rats (ratio) treated.

Treatment Group	Dosing route	Number of Rats Exhibiting Estrogenic Response/ Number of Rats Treated							
		Day of Study							
		Day 0	Day 1	Day 2	Day 3	Day 4	Day 5	Day 6	Day 7
0.05 mg/kg/day EE	po	0/8	1/8	3/8	8/8	8/8	8/8	8/8	8/8
Vehicle Control (2 ml/kg/day)	po	0/8	0/8	0/8	0/8	0/8	0/8	0/8	0/8
0.1 mg/kg/day E4	po	0/8	0/8	1/8	7/8	8/8	8/8	8/8	8/8
0.3 mg/kg/day E4	po	0/8	0/8	1/8	7/8	8/8	8/8	8/8	8/8
1.0 mg/kg/day E4	po	0/8	0/8	4/8	8/8	8/8	8/8	8/8	8/8
3.0 mg/kg/day E4	po	0/8	0/8	6/8	8/8	8/8	8/8	8/8	8/8

### Example 2

To evaluate the oral (po) and subcutaneous (sc) bioavailability of estetrol (E4) and to determine the elimination half-life, single dose studies were performed in female Sprague Dawley rats followed by frequent blood sampling over a 24 hours interval.

5 Female Sprague Dawley rats were equipped with a permanent silastic heart catheter, as described by Kuipers et al. (1985, Gastroenterology, 88, 403-411). Rats were allowed to recover from surgery for 5 days and were then administered 0.05, 0.5, or 5 mg/kg E4 in 0.5 ml arachidic oil. For sc administration, E4 was injected in the neck area using a 1 ml syringe and 20g needle. For po administration of E4, rats were lightly anaesthetized with halothene/  
10 N<sub>2</sub>O/O<sub>2</sub> and E4 was directly applied intragastrically using a plastic stomach intubator. Blood samples were subsequently collected via the heart catheter in heparinized tubes at 0.5, 1, 2, 4, 8 and 24 hours. Erythrocytes were removed by centrifugation at 5000xg for 10 minutes at 4°C and blood plasma was stored at -20°C. After thawing the plasma samples, liquid-liquid extraction (hexane and diethyl ether) was employed to prepare the E4-containing plasma  
15 samples for HPLC analysis (Perkin Elmer 200) and tandem mass spectrometry using a PE Sciex 3000 tandem mass spectrometer and APCI interface. With each sample batch, a calibration curve with 6 calibrators was recorded. The calibration curve was calculated using linear regression (correlation coefficient > 0.98), which permitted quantitation of plasma concentrations. For each rat plasma, sampled at different time intervals, data were collected.

20 Plasma E4 concentration data were analysed with "WinNonLin, edition 3.1" and involved pharmacokinetic parameters for C<sub>max</sub>, half-life and AUC<sub>0-24</sub>. Especially, using the lower and intermediate dose levels of 0.05, 0.5 mg/kg, E4 demonstrated an oral bioavailability equal to the bioavailability obtained with sc administration (80-100 %). At the highest dose level tested, 5.0 mg/kg E4, absorption kinetics gave rise to an oral bioavailability  
25 approximating 30-60% of sc administered E4. Interestingly, E4 demonstrated a relatively long half-life of 2-3 hours, enabling the detection of bioactive levels of unconjugated E4 at all time points over a 24 hour interval in the sc and po dosing experiments.

### Example 3

Established competitive steroid binding assays were used to determine the relative binding affinity of estetrol (E4), as compared to 17 $\alpha$ -ethinylestradiol (EE) and 17 $\beta$ -estradiol (E2), to human Estrogen Receptor (ER)  $\alpha$ - and  $\beta$ -forms.

5 The method employed was adapted from the scientific literature and described in detail by Osbourn et al. (1993, Biochemistry, 32, 6229-6236). Recombinant human ER $\alpha$  and ER $\beta$  proteins were purified from transfected Sf9-cells. The *in vitro* assays involved the use of either ER $\alpha$  or ER $\beta$  proteins and [ $^3$ H]E2, at a fixed concentration of 0.5 nM, as the labeled ligand. Recombinant human ER $\alpha$  or ER $\beta$  proteins were dissolved in binding buffer (10 mM  
10 Tris-HCL, pH 7.5, 10% glycerol, 1 mM DTT, 1 mg/ml BSA) and duplicate aliquots were then incubated with [ $^3$ H]E2 at a final concentration of 0.5 nM, together with a vehicle control (0.4% DMSO), or the same amount of vehicle containing increasing concentrations of unlabeled steroid ligands as competitors. After incubation for 2 h at 25 $^{\circ}$ C, the unbound ligands were removed and the amounts of [ $^3$ H]E2 bound to either ER $\alpha$  or ER $\beta$  proteins were  
15 measured. The average amounts of [ $^3$ H]E2 bound to either ER $\alpha$  or ER $\beta$  proteins at each concentration of competitor were used to make inhibition curves. IC50 values were subsequently determined by a non-linear, least squares regression analysis. Inhibition constants (K<sub>i</sub>) were calculated using the equation of Cheng and Prusoff (Cheng et al., 1973, Biochem. Pharmacol., 22, 3099-3108), using the measured IC50 of the tested compounds, the  
20 concentration of radioligand employed in the assay, and the historical values for the K<sub>d</sub> of the radioligand, which were established as 0.2 nM and 0.13 nM for ER $\alpha$  and ER $\beta$ , respectively.

Biochemical assay results for E4 are presented as the percent inhibition of specific binding in three separate experiments (Table 3). For comparison of binding affinities of E4, EE and E2 to human ER $\alpha$  and ER $\beta$  proteins, experimentally observed K<sub>i</sub> values are shown in  
25 Table 4. As compared to EE and E2, E4 demonstrates a unique binding profile with a strong preference (400%) for binding to the ER $\alpha$  protein (Table 4). In contrast, K<sub>i</sub> values for ER $\beta$  protein are more pronounced for EE and E2 steroid ligands (Table 4).

**Table 3:** Percent inhibition of specific binding to ER $\alpha$  and ER $\beta$  proteins using E4 as unlabeled steroid ligand and 0.5 nM [3H] as labeled competitor. Results of three separate experiments are shown.

E4 final concentration	Percent inhibition of specific binding in					
	ER $\alpha$ steroid binding assay			ER $\beta$ steroid binding assay		
	Test 1	Test 2	Test 3	Test 1	Test 2	Test 3
1 $\mu$ M	98	nd	nd	87	90	95
0.3 $\mu$ M	92	94	101	74	74	77
0.1 $\mu$ M	83	85	86	56	54	50
0.03 $\mu$ M	64	66	63	19	25	30
10 nM	43	32	28	nd	nd	nd
3 nM	26	17	11	nd	nd	nd

5 nd: not determined

**Table 4:** Experimentally determined inhibition constants (K<sub>i</sub>) for estetrol (E4), 17 $\alpha$ -ethinylestradiol (EE) and 17 $\beta$ -estradiol (E2), to human ER $\alpha$  and ER $\beta$  proteins. Relative preference for binding to ER $\alpha$  protein is also shown.

10

Steroid ligands	K <sub>i</sub> ER $\alpha$ (nM)	K <sub>i</sub> ER $\beta$ (nM)	Relative ER $\alpha$ /ER $\beta$ preference(%)
EE	0.23	0.025	11
E2	0.21	0.015	7
E4	4.9	19	400

#### Example 4

An established competitive steroid-binding assay (Hammond and Lahteenmaki. 1983. Clin Chem Acta 132:101-110) was used to determine the relative binding affinity of estetrol (E4), 17 $\alpha$ -ethinylestradiol(EE2), 17 $\beta$ -estradiol (E2), testosterone (T)and 5 $\alpha$ -dihydrotestosterone (DHT) for human sex Hormone Binding Globulin (SHBG).

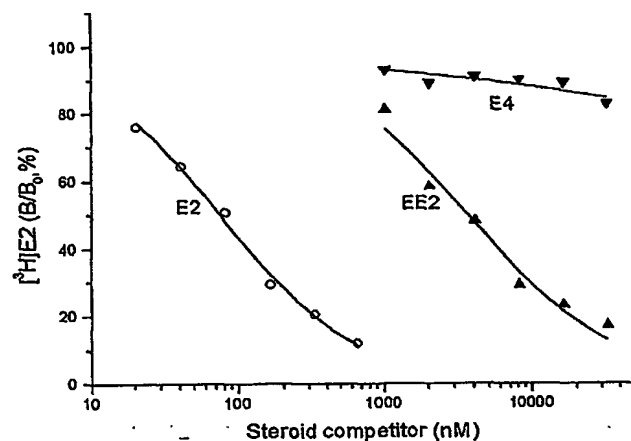
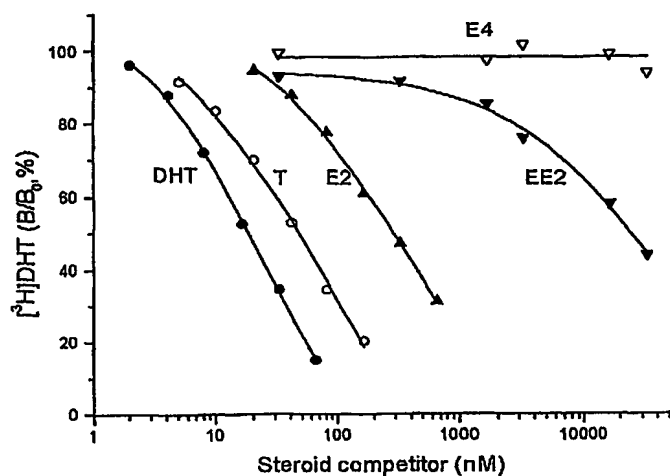
15

Human SHBG was purified from transgenic mouse serum, as described previously (Avvakumov GV et al., 2000. J Biol Chem 275: 25920-25925). The human SHBG prepared in this way was assessed to be >99% pure by polyacrylamide gel electrophoresis under denaturing conditions. Its steroid-binding characteristics are indistinguishable from SHBG in

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human serum (Avvakumov GV et al., 2000. J Biol Chem 275: 25920-25925). The *in vitro* assay involved the use of the purified human SHBG and [ $^3\text{H}$ ]DHT or [ $^3\text{H}$ ]estradiol as labeled ligands. Human SHBG was treated for 30 min at room temperature with a dextran-coated charcoal (DCC) suspension in phosphate buffered saline (PBS) to remove any steroid ligand. After centrifugation (2,000 x g for 10 min) to sediment the DCC, the supernatant containing the human SHBG was diluted in PBS to a concentration of 1 nM based on its steroid binding capacity.

Duplicate aliquots (100  $\mu\text{l}$ ) of this human SHBG solution were then incubated with an equal volume of either [ $^3\text{H}$ ]DHT or [ $^3\text{H}$ ]estradiol at 10 nM, together with 100  $\mu\text{l}$  of PBS alone or the same amount of PBS containing increasing concentrations of unlabeled steroid ligands as competitors in polystyrene test tubes. After incubation for 1 h at room temperature the reaction mixtures were placed in an ice bath for a further 15 min. Aliquots (600  $\mu\text{l}$ ) of an ice cold suspension of DCC were then added to each tube, and after a brief 2 seconds mixing, each tube was incubated in an ice bath for either 10 min or 5 min depending on whether [ $^3\text{H}$ ]DHT or [ $^3\text{H}$ ]estradiol were being used as labeled ligands, respectively. The unbound ligands adsorbed to DCC were then removed by centrifugation (2,000 x g for 15 min at 4 C), and the amounts of [ $^3\text{H}$ ]labeled ligands bound to SHBG were counted in 2 ml ACS scintillation cocktail using in liquid scintillation spectrophotometer. The average amounts of [ $^3\text{H}$ ]labeled ligands bound to SHBG at each concentration of competitor (B) were expressed as a percentage of the average amounts of [ $^3\text{H}$ ]labeled ligands bound to SHBG in the absence of competitor ( $B_0$ ), and were plotted against the concentration of competitor in each assay tube. The results of the competitive binding assays are depicted in Figure 1.



**Figure 1:** Competitive displacement of [ $^3$ H]DHT (panel A) and [ $^3$ H]estradiol (panel B) from the human sex hormone-binding globulin steroid binding site. The unlabeled steroid ligands used as competitors were as follows: estetrol (E4), 17 $\alpha$ -ethinylestradiol (EE2), 17 $\beta$ -estradiol (E2), testosterone (T) and 5 $\alpha$ -dihydrotestosterone (DHT)

As is clearly apparent from these competitive binding assays, estetrol does not bind at all to human SHBG when tested with either [ $^3$ H]DHT or [ $^3$ H]estradiol as labeled ligands. This is in marked contrast with reference steroids ethinylestradiol, 17 $\beta$ -estradiol, testosterone and 5 $\alpha$ -dihydrotestosterone, which, in this order, show an increased relative binding affinity for human SHBG. Importantly, estetrol binding to SHBG was negligible when compared with the other estrogens tested, ethinylestradiol and 17 $\beta$ -estradiol.

### Example 5

The ability of estetrol (E4) to alleviate the symptoms and severity of immune mediated disorders is evaluated in mice with experimental autoimmune encephalomyelitis (EAE), an inflammatory disease triggered by the induction of autoreactive T lymphocytes and widely studied as a model of multiple sclerosis (MS) in humans. EAE is a demyelinating disease which may be induced by immunizing susceptible mouse, rat or guinea pig strains with myelin basic protein (MBP) or with encephalitogenic protein fragments, e.g. peptides. The genetic susceptibility is based in part on the capacity of encephalitogenic protein fragments to bind to particular class II major histocompatibility complex (MHC-II) molecules and present these fragments to autoimmune T lymphocytes. B10.PL represents such a susceptible mouse strain.

Female B10.PL mouse, at an age of 8-12 weeks, are obtained from Jackson Laboratories (Bar Harbor, Me.) and divided into treatment groups of 12 mice. Three days prior to EAE disease induction, animals are anesthetized using a ketamine/xylazine anesthetic mixture and are ovariectomized. The ovaries are removed after a single incision through the back skin and a bilateral flank incision through the peritoneum.

Myelin basic protein (MBP) is isolated from guinea pig spinal cords following the procedure of Deibler, et al. (Deibler, G. E., et al., Prep. Biochem. 2:139, 1972). MBP is stored lyophilized at -20 °C and is dissolved, before use, in 0.1M acetic acid at a concentration of 8 mg/ml. For immunisations, MBP is emulsified in an equal volume of complete Freund's adjuvant (CFA) containing Mycobacterium tuberculosis H.sub.37 Ra (4 mg/ml).

To induce EAE, mice are immunised in the skin at the base of the tail with 400 µg MBP in an emulsion of CFA (0.1 ml), containing Mycobacterium tuberculosis H.sub.37 Ra. Immediately after the MBP immunisation and 48 hours later, mice are additionally injected intraperitoneally with 200 ng of pertussis toxin (Sigma, St. Louis, MO). The mice are examined daily for clinical signs of EAE for 40 days and disease symptoms are scored according to Clayton et al. (J. Exp. Med. 169:1681, 1989): 0: no paralysis, 1: tail limp/slow/dull eyes, 2: partial hind paralysis or limb weakness, 3: difficulty turning over, severe limb weakness or mild paralysis, 4: severe to total paralysis, 5: moribund/dead.

The study comprises a combined prevention/therapeutic protocol, in which the mice are administered various amounts of E4, starting at day 1 of the study (day of MBP-immunisation) until and including day 40. Four groups of mice are orally treated once daily with E4 (0.1, 0.3, 1.0 or 3.0 mg/kg/day) and one group of mice, receiving once daily oral vehicle treatment (hydroxy propyl-beta-cyclodextrin 20% wt/vol) as a negative control.

Similarly, the study further comprises 4 groups of mice subcutaneously treated once daily with E4 (0.1, 0.3, 1.0 or 3.0 mg/kg/day) and one group of mice, receiving once daily subcutaneous vehicle treatment (hydroxy propyl-beta-cyclodextrin 20% wt/vol) as a negative control.

5 Neither oral nor subcutaneous treatment with vehicle is effective in preventing EAE disease development in MBP immunised mice. Typically, animals show a rapid onset of disease symptoms and progressively develop more signs of autoimmune disease over the evaluation period. At 40 days, most animals suffer from severe forms of EAE (typically grading as 3 or more). Interestingly, subcutaneous and oral E4 treatment show dose-  
10 dependent effects in delaying the onset of EAE disease symptoms and prevent a severe development of autoimmune disease over a 40 days evaluation period. Comparison of the treatment groups with the control groups reveals that the number of afflicted animals and/or severity of EAE symptoms are dose-dependently reduced in E4-treated mice.

The study is repeated separately with non-castrated female B10.PL and male B10.PL  
15 mouse, demonstrating again E4's ability to dose-dependently alleviate symptoms and severity of EAE after daily subcutaneous and/or oral administration.

#### Example 6

The ability of estetrol (E4) to alleviate the symptoms and severity of immune mediated  
20 disorders is further evaluated in mice developing collagen induced arthritis (CIA), which is another experimental system of autoimmune and chronic inflammatory disease, representing human arthritic diseases, particularly rheumatoid arthritis.

Female DBA-1 mice at an age of 8-12 weeks at the start of the experiments are divided into treatment groups of 12 mice. Three days prior to CIA disease induction, animals  
25 are anesthetized using a ketamine/xylazine anesthetic mixture and ovariectomized. The ovaries are removed after a single incision through the back skin and a bilateral flank incision through the peritoneum.

Bovine type II collagen (CII) is isolated and purified according to the protocol of Wooley et al. (J. Exp. Med. 154: 688-700, 1981). Before use, CII is first dissolved in 0.1M  
30 acetic acid at a concentration of 2 mg/ml and then emulsified 1:1 with complete Freund's adjuvant (CFA) containing Mycobacterium tuberculosis H.sub.37 Ra (4 mg/ml) or, for booster immunisation, emulsified 1:1 with incomplete Freund's adjuvant (IFA).

To induce CIA, mice are immunised with 0.1 ml of the emulsion prepared in CFA at the base of the tail. Twenty-one days later, the animals receive a booster injection of 0.1 ml of

the emulsion prepared in IFA. The mice are examined daily for clinical signs of CIA from the beginning of the experiment until the termination at day 60 after the first immunisation. Clinical signs of CIA are scored according to Wooley et al. (J. Exp. Med. 154: 688-700, 1981), using a scale from 0 to 3 for each paw: 0: no arthritis; 1: redness and swelling in the paw or toes; 2: severe swelling or deformity in the paw and 3: ankylosis. An arthritic score for each mouse is obtained by summing the score of all paws. For each mouse, the possible severity as measured by the arthritic score may range from 0 to 12.

A combined prevention/therapeutic protocol is used, in which the mice are administered various amounts of E4, starting at day 1 of the study (day of first CII-immunisation) until and including day 60. Four groups of mice are orally treated once daily with E4 (0.1, 0.3, 1.0 or 3.0 mg/kg/day) and one group of mice, receiving once daily oral vehicle treatment (hydroxy propyl-beta-cyclodextrin 20% wt/vol) as a negative control. Similarly, the study further comprises 4 groups of mice subcutaneously treated once daily with E4 (0.1, 0.3, 1.0 or 3.0 mg/kg/day) and one group of mice, receiving once daily subcutaneous vehicle treatment (hydroxy propyl-beta-cyclodextrin 20% wt/vol) as a negative control.

Neither oral nor subcutaneous treatment with vehicle is effective in preventing CIA disease development in CII immunised mice. Vehicle-treated animals, typically, show a rapid onset of disease symptoms and develop more signs of CIA over the evaluation period. At 60 days, most animals suffer from severe forms of CIA (typically grading as 4 or more). Interestingly, subcutaneous and oral E4 treatment show dose-dependent effects in delaying the onset of CIA symptoms and prevent a progressive development to more severe forms of joint destruction over a 60 days evaluation period. Comparison of the treatment groups with the control groups shows that the number of afflicted animals and/or severity of CIA symptoms are dose-dependently reduced in E4-treated mice.

The study is repeated separately with non-castrated female DBA-1 and male DBA-1 mouse, demonstrating again E4's ability to dose-dependently alleviate symptoms and severity of EAE after daily subcutaneous and/or oral administration.

#### Example 7

Preparation of 100 capsules, containing 2 mg estetrol and 2 mg dexamethason per capsule:

200 mg estetrol, 200 mg dexamethason (as di-sodium-phosphate salt) and 75 mg colloidal siliciumdioxide (Aerosil® 200V) are mixed together. The resulting powder mixture is transferred into a beaker with volume indication. Volume is adjusted to 37 ml with

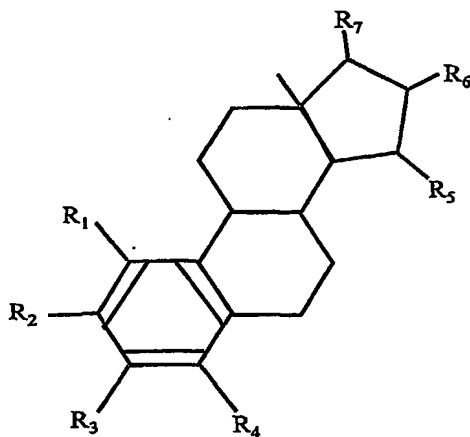
microcrystalline cellulose (Avicel ® PH102). Subsequently, the powder mixture is filled into 100 two-piece hard gelatine capsules (Capsugel® 2).

Example 8

- 5 Preparation of 100 capsules, containing 2 mg estetrol and 3 mg methotrexate per capsule:  
200 mg estetrol, 300 mg methotrexate (as di-sodium salt) and 75mg colloidal  
siliciumdioxide (Aerosil® 200V) are mixed together. The powder mixture thus obtained is  
transferred into a beaker with volume indication. Volume is adjusted to 37 ml with  
microcrystalline cellulose (Avicel ® PH102). The powder mixture obtained is filled into 100  
10 two-piece hard gelatine capsules (Capsugel® 2).

## CLAIMS

1. Use of an estrogenic component selected from the group consisting of:  
substances represented by the following formula



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in which formula  $R_1$ ,  $R_2$ ,  $R_3$ ,  $R_4$  independently are a hydrogen atom, a hydroxyl group or an alkoxy group with 1-5 carbon atoms; each of  $R_5$ ,  $R_6$ ,  $R_7$  is a hydroxyl group; no more than 3 of  $R_1$ ,  $R_2$ ,  $R_3$ ,  $R_4$  are hydrogen atoms;

precursors capable of liberating a substance according to the aforementioned formula when

used in the present method; and

mixtures of one or more of the aforementioned substances and/or precursors;

in the manufacture of a pharmaceutical composition for use in a method of treating or preventing an immune mediated disorder in a mammal, said method comprising the administration of a therapeutically effective amount of the estrogenic component to said mammal.

2. Use according to claim 1, wherein  $R_3$  represents a hydroxyl group or an alkoxy group.

3. Use according to claim 1 or 2, wherein at least 3 of the groups  $R_1$ ,  $R_2$ ,  $R_3$  and  $R_4$  represent hydrogen atoms.

4. Use according to any one of claims 1-3, wherein the method comprises the uninterrupted administration of the estrogenic component during a period of at least 5 days, preferably of at least 30 days.

5. Use according to any one of claims 1-4, wherein the method comprises oral or subcutaneous administration of the estrogenic component.

5 6. Use according to claim 5, wherein the method comprises oral administration.

7. Use according to any one of claims 1-6, wherein the estrogenic component is administered in an amount of at least 1 µg per kg of bodyweight per day, preferably of at least 5 µg per kg of bodyweight per day.

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8. Use according to any one of claims 1-7, wherein the immune mediated disorder is a T-lymphocyte mediated disorder and/or a chronic inflammatory disease.

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9. Use according to any one of claims 1-8, wherein the immune mediated disorder is selected from the group consisting of autoimmune diseases; rheumatoid arthritis; osteoarthritis; insulin dependent diabetes (type I diabetes); systemic lupus erythematosus; psoriasis; immune pathologies induced by infectious agents, viral infections or bacterial infections; tuberculosis, lepromatous leprosy; transplant rejection; graft versus host disease; atopic conditions; eosinophilia; conjunctivitis and glomerular nephritis..

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10. Use according to claim 9, wherein the immune mediated disorder is a Th1 mediated disorder.

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11. Use according to any one of claims 1-10, wherein the immune mediated disorder is selected from the group consisting of multiple sclerosis, rheumatoid arthritis, osteoarthritis, insulin dependent diabetes (type I diabetes), systemic lupus erythematosus and psoriasis.

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12. A pharmaceutical formulation comprising the estrogenic component as defined in claim 1, an immunotherapeutic agent and a pharmaceutically acceptable excipient.

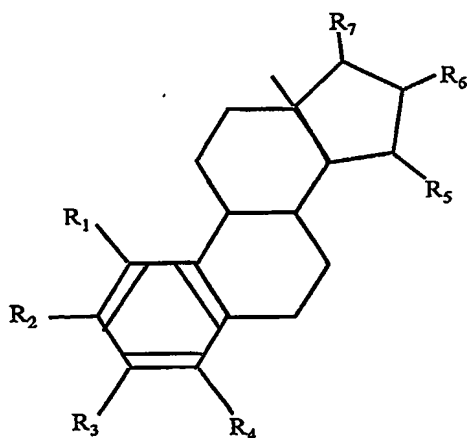
13. The pharmaceutical formulation according to claim 12, wherein the formulation comprises at least 10 µg of the estrogenic component.

14. The pharmaceutical formulation according to claim 20 or 21, wherein the formulation comprises at least 1  $\mu\text{g}$  of the immunotherapeutic agent.

5 15. An oral unit dosage form comprising a pharmaceutical formulation according to any one of claims 12-14.

## ABSTRACT

One aspect of the present invention relates to a method of treating or preventing an immune mediated disorder in a mammal, said method comprising the administration of a therapeutically effective amount of an estrogenic component to said mammal, wherein the  
5 estrogenic component is selected from the group consisting of:  
substances represented by the following formula



in which formula R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, R<sub>4</sub> independently are a hydrogen atom, a hydroxyl group or an  
10 alkoxy group with 1-5 carbon atoms; each of R<sub>5</sub>, R<sub>6</sub>, R<sub>7</sub> is a hydroxyl group; no more than 3 of  
R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, R<sub>4</sub> are hydrogen atoms; precursors capable of liberating a substance according to  
the aforementioned formula when used in the present method; and mixtures of one or more of  
the aforementioned substances and/or precursors.

Another aspect of the invention relates to a pharmaceutical formulation comprising the  
15 aforementioned estrogenic component, an immunotherapeutic agent and a pharmaceutically  
acceptable excipient.

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